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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/710,770

08/02/2004

Landis ARNOLD

WILD0001

**CONFIRMATION NO. 4769** 

25235 **HOGAN & HARTSON LLP** ONE TABOR CENTER, SUITE 1500 1200 SEVENTEENTH ST **DENVER, CO 80202** 



**FORMALITIES LETTER** \*OC000000013755771\*

Date Mailed: 09/08/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

**Mail Stop Missing Parts** 

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

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10/18/2004 LUDNDIH1

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



# EXPRESS MAIL NO.EL 961395576 US Attorney Docket No. WILD0001 Client/Matter No. 88927.0002

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LANDIS ARNOLD

Serial No. 10/710,770

Filed: August 2, 2004

For: HYDRODYNAMICALLY BALANCED AND RETRACTABLE RUDDER FOR SMALL BOATS

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- Certificate of Mailing By Express Mail
- Notice to File Missing Part of Nonprovisional Application;
- Fee Transmittal and check for \$105.00;
- Executed Declaration for Utility Patent Application;
- Recordation Form Cover Sheet PTO 1595;
- Executed Assignment; and
- Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL 961396576 US, with the United States Postal Service, addressed to Box Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Date

Mailer

Stuart T. Langley, Reg. No. 33,940

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5335 Tel

(303) 899-7333 Fax

| - 14 2004 EEE TOANSMITTAL                                                                                                                                          |                               |                           |                                                               | Complete if Known            |                                |                                                                    |                                 |                |    |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|---------------------------|---------------------------------------------------------------|------------------------------|--------------------------------|--------------------------------------------------------------------|---------------------------------|----------------|----|--|
| M. EFFILMAIOINIIIAF                                                                                                                                                |                               |                           |                                                               |                              |                                |                                                                    | 10/710,770                      |                |    |  |
| for FY 2005                                                                                                                                                        |                               |                           |                                                               | Filing Date                  |                                |                                                                    | 08/02/2004                      |                |    |  |
| for FY 2005 EHELD 10/01/2004. Patent fees are subject to annual revision                                                                                           |                               |                           |                                                               | First Named Inventor         |                                | Landis Arnold                                                      |                                 |                |    |  |
|                                                                                                                                                                    |                               |                           |                                                               | Examiner Name                |                                | Not yet assigned                                                   |                                 |                |    |  |
| Applicant claims small entity status. See 37 CFR 1.27                                                                                                              |                               |                           |                                                               | Group / Art Unit             |                                |                                                                    | 3641                            |                |    |  |
| TOTAL AMOUNT OF PAYMENT (\$) 105.00                                                                                                                                |                               |                           |                                                               | Attorney Docket No. WILD0001 |                                |                                                                    |                                 |                |    |  |
|                                                                                                                                                                    |                               |                           |                                                               | FEE CALCULATION (continued)  |                                |                                                                    |                                 |                |    |  |
| METHOD OF PAYMENT (check all that apply)  ☑ check ☐ credit card ☐ money order ☐ other ☐ none  3                                                                    |                               |                           |                                                               | 3. ADDITIONAL FEES           |                                |                                                                    |                                 |                |    |  |
|                                                                                                                                                                    | Deposit Account               |                           |                                                               | Large Small                  |                                |                                                                    |                                 |                |    |  |
| Deposit<br>Account<br>Number                                                                                                                                       | 50-1123                       |                           |                                                               | Entity<br>Fee (\$)           | F                              | ee Descr                                                           | Fee Paid                        |                |    |  |
| Denesit F                                                                                                                                                          |                               |                           | 130<br>50                                                     | 65<br>25                     | Surcharge – I                  | _                                                                  |                                 | Or             | 65 |  |
| Deposit<br>Account                                                                                                                                                 | Hogan & Hart                  | son L.L.P.                | 130                                                           | 130                          | cover sheet                    | ge – late provisional filing fee or<br>neet<br>glish specification |                                 |                |    |  |
| The Director is authorized to: (check all that apply)                                                                                                              |                               |                           | 2,520                                                         | 2,520                        | _                              | filing a request for ex parte                                      |                                 |                |    |  |
| ☐ Charge fee(s) indicated below ☐ Credit any overpayments                                                                                                          |                               |                           |                                                               | 920*                         | reexamination                  | <del>-</del>                                                       |                                 |                |    |  |
| <ul> <li>☐ Charge any additional fee(s) or any underpayment of fee(s)</li> <li>☐ Charge fee(s) indicated below, except for the filing fee to the above-</li> </ul> |                               |                           |                                                               |                              | Examiner act                   | r action                                                           |                                 |                |    |  |
| identified deposit account                                                                                                                                         |                               |                           |                                                               | 1,840*                       | •                              | equesting publication of SRI after caminer action                  |                                 |                |    |  |
|                                                                                                                                                                    |                               |                           | 110                                                           | 55                           | Extension for                  | • •                                                                |                                 | - 46           |    |  |
|                                                                                                                                                                    | FEE CALCULAT                  | ION                       | 430<br>980                                                    | 215<br>490                   |                                |                                                                    | in second mor<br>in third month |                |    |  |
| 1. BASIC F                                                                                                                                                         | ILING FEE Small Fee Descripti | on                        | 1,530                                                         | 765                          |                                | • •                                                                | in fourth month                 |                |    |  |
| Entity Fee<br>(\$)                                                                                                                                                 | Entity Fee (\$)               | Fee Paid                  |                                                               |                              |                                |                                                                    |                                 |                |    |  |
| 790                                                                                                                                                                | 395 Utility Filing Fee        |                           | 2,080                                                         | 1,040                        | Extension for                  | reply with                                                         | in fifth month                  |                |    |  |
| 350                                                                                                                                                                | 175 Design filing fee         |                           | 340                                                           | 170                          | Notice of App                  | tice of Appeal                                                     |                                 |                |    |  |
| 550                                                                                                                                                                | 275 Plant filing fee          |                           | 340                                                           | 170                          | Filing a brief i               | Filing a brief in support of an appeal                             |                                 |                |    |  |
| 790                                                                                                                                                                | 395 Reissue filing fee        |                           | 300                                                           | 150                          | Request for c                  | Request for oral hearing                                           |                                 |                |    |  |
| 160                                                                                                                                                                | 80 Provisional filing fe      | е                         | 1,510                                                         | 1,510                        | Petition to ins                | Petition to institute a public use roceeding                       |                                 |                |    |  |
|                                                                                                                                                                    |                               |                           | 110<br>1,370                                                  | 55                           | Petition to rev                | to revive – unavoidable                                            |                                 |                |    |  |
| SUBTOTAL (1) (\$)                                                                                                                                                  |                               |                           |                                                               | 685<br>685                   |                                | revive – unintentional le fee (or reissue)                         |                                 |                |    |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  Fee from Fee Paid                                                                                                     |                               |                           |                                                               | 245                          | Design issue                   | , ·                                                                |                                 |                |    |  |
| Total Claims below  -20**= X =                                                                                                                                     |                               |                           |                                                               | 330                          | Plant issue fe                 | e fee                                                              |                                 |                |    |  |
| Independent                                                                                                                                                        | -3**= X                       | =                         | 130                                                           | 130                          | Petitions to th                | ne Director                                                        | r                               |                |    |  |
| Claims Multiple Dependent =                                                                                                                                        |                               |                           | 50                                                            | 50                           | Processing for<br>under 37 CFF | fee for provisional application FR 1.17(q)                         |                                 |                |    |  |
| **or number previously paid, if greater; For Reissues, see below                                                                                                   |                               |                           | 180                                                           | 180                          |                                | of Info Disclosure Stmt                                            |                                 |                | 40 |  |
| Large Entity<br>Fee (\$)                                                                                                                                           | Small Entity Fee (\$)         | Fee Description           | 40                                                            | 40                           | Recording ea property (time:   | -                                                                  | assignment pe<br>properties)    | er (           | 40 |  |
| 18                                                                                                                                                                 | 9 Claims in exc               | cess of 20                | 790                                                           | 395                          | Filing a subm<br>CFR § 1.129(  | mission after final rejection (37                                  |                                 |                | ·  |  |
| 88                                                                                                                                                                 | 44 Independent                | claims in excess of 3     | 790                                                           | 395                          | For each add                   | dditional invention to be                                          |                                 |                |    |  |
| 300                                                                                                                                                                | ·                             | endent claim, if not paid | 790                                                           | 395                          | Request for C                  | amined (37 CFR §1.129(b)) equest for Continued Examination         |                                 |                |    |  |
| 88                                                                                                                                                                 | original pater                |                           | 900 Request for expedited examination of a design application |                              |                                |                                                                    | d                               |                |    |  |
| 18 9 **Reissue claims in excess of 20 and over original patent                                                                                                     |                               |                           |                                                               | Other fee (specify)          |                                |                                                                    |                                 |                |    |  |
|                                                                                                                                                                    | SUBTOTAL (2)                  | *Reduced                  | Reduced by Basic Fling Fee Paid SUBTOTAL (3) (\$)105          |                              |                                |                                                                    |                                 | (\$)105        |    |  |
| SUBMITTED                                                                                                                                                          |                               | Boo                       | istration No.                                                 | <u> </u>                     |                                | Telephone                                                          | 1720                            | 1) 406-5335    |    |  |
| Name (Print/T                                                                                                                                                      | Stuart T. Langley             | -                         | orney/Agent)                                                  | 33,940                       | )                              | <u> </u>                                                           | 1/20                            | )) 406-5335    |    |  |
| Signature Date 10/14/04                                                                                                                                            |                               |                           |                                                               |                              |                                |                                                                    |                                 |                |    |  |
|                                                                                                                                                                    |                               |                           | . <del></del>                                                 | <del></del>                  |                                |                                                                    |                                 | · <del>-</del> |    |  |